



SRL:SEC:SE:2023-24/36

July 18, 2023

National Stock Exchange of India Limited Exchange Plaza, 5th Floor Plot No. C/1, G-Block Bandra-Kurla Complex Bandra (East), <u>Mumbai – 400 051</u> (Symbol: SPENCERS) BSE Limited Phiroze Jeejeebhoy Tower Dalal Street <u>Mumbai – 400 001</u> (Scrip Code: 542337)

Dear Sir/Madam,

Sub: Shareholding pattern for the quarter ended June 30, 2023

Pursuant to Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the shareholding pattern of the Company for the quarter ended June 30, 2023.

Kindly take the aforementioned information on record and oblige.

Thanking you,

Yours faithfully, For Spencer's Retail Limited

Vikash Kumar Agarwal Company secretary & Compliance Officer

Encl.: As Above

Spencer's Retail Limited Regd. Office: Duncan House, 31, Netaji Subhas Road, Kolkata-700 001 Corp. Office: RPSG House, 2/4 Judges Court Road, Kolkata-700 027 Tel: +91 33 2487 1091 Web: www.spencersretail.com CIN: L74999WB2017PLC219355

Spencer's Retail Limited

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Name of	Listed Entity: Spencer's Retail Limited	
Scrip Cod	e/Name of Scrip/Class of Security: BSE-542337 AND NSE-SPENCERS/ Equity Shares	
Share Ho	lding Pattern Filed under: Reg. 31(1)(b) for the quarter ended June 30, 2023	
Declarati	on: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
Sl. No.	Particulars	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?	No
3	Whether the Listed Entity has any shares against which depository receipts are issued?	No
4	Whether the Listed Entity has any shares in locked-in?	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?	No
6	Whether Company has equity shares with differential voting rights?	No
7	Whether the listed entity has any significant beneficial owner?	No

	Retail Limited ummary Statement holding of spe	cified securities																
ategory	imary Statement noiding of spe Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	equity	underlying	Total nos. shares held	as a % of total no. of shares (calculated as		g Rights held i of Voting Right		securities Total as a	Underlying Outstanding convertible	Shareholding , as a % assuming full conversion of convertible securities (as a		of Locked hares As a % of	plec oth	As a % of	Number of equity shares held in dematerialised
				shares held	Receipts		per SCRR, 1957)			No. (a)	total Shares held(b)	No. (a) total Shares held(b)		form				
(I)	(II)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)		(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)		XII)	(xIII)	(XIV)
(A)	Promoter & Promoter Group	14	5,30,08,514	-	-	5,30,08,514	58.81	5,30,08,514	-	5,30,08,514	58.81	-	58.81	-	-	-	-	5,30,08,514
(B)	Public	58,645	3,70,03,495	-	-	3,70,03,495	41.05	3,70,03,495	-	3,70,03,495	41.05	-	41.05	-	-	-	-	3,63,10,547
(C)	Non Promoter - Non Public			-	-				-			-		-	-	-	-	
(C1)	Shares Underlying DRs	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
	Shares Held By Employee Trust	1	1,20,000	-	-	1,20,000	0.13	-	-	-	-	-	0.13	-	-	-	-	1,20,000
	Total	58,660	9,01,32,009	-	-	9,01,32,009	100.00	9,00,12,009	-	9,00,12,009	100.00	-	100.00	-	-	-	-	8,94,39,061

Spence	er's Retail Limited																			
Table I	I - Statement showing shareholding patter	n of the Promoter a	and Pro	omoter Group																
				Nos. of	No. of fully	Partly paid-up	No. of shares	Total nos.	Shareholding % calculated	Number of	f Voting Righ secu	its held in each c rities		No. of Shares Underlying Outstanding	Shareholding , as a % assuming full conversion of		of Locked hares	pled othe	r of Shares ged or erwise mbered	Number of equity shares
5l. No.	Category & Name of the shareholders	Entity Type	PAN	shareholders	paid up equity shares held	equity shares held	underlying Depository Receipts	shares held	as per SCRR, 1957 As a % of (A+B+C2)	No	of Voting Ri	ghts	Total as a % of Total	convertible securities (including	convertible securities (as a percentage of	No. (a)	As a % of total Shares	No. (a)	As a % of total Shares	held in dematerialised form
										Class eg: X	Class eg: y	Total	Voting Rights	Warrants)	diluted share capital)		held(b)		held(b)	
	(1)		(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)		(1)	x)		(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)	()	KIII)	(XIV)
1	Indian																			
(a)	Individuals / Hindu Undivided Family			5	1,93,265		-	1,93,265		,,		1,93,265	0.21		0.21		-	-	-	1,93,265
	Sanjiv Goenka	Promoters		1	91,659		-	91,659	0.10	. ,		91,659	0.10		0.10		-	-	-	91,65
	Shashwat Goenka	Promoters		1	75,756	-	-	75,756		75,756	i -	75,756	0.08	-	0.08	-	-	-	-	75,756
	Preeti Goenka	Promoters		1	17,150	-	-	17,150	0.02	17,150) -	17,150	0.02	-	0.02	-	-	-	-	17,15
	Sanjiv Goenka Huf	Promoters		1	0,500		-	8,360	0.01			8,360	0.01	-	0.01	-	-	-	-	8,360
	Avarna Jain	Promoters		1	340	-	-	340	0.00	340) –	340	0.00	-	0.00	-	-	-	-	340
(b)	Central Government / State Government(s)			-	-	-			-				-	-	-	_	-	-	-	
(c)	Financial Institutions / Banks			-	-	-	-		-	-			-	-	-	-	-	-	-	
(d)	Any Other (Specify)			9	5,28,15,249	-		5,28,15,249	58.60	5,28,15,249	- 1	5,28,15,249	58.60	-	58.60	-	-	-	-	5,28,15,249
	Bodies Corporate			9	5,28,15,249		-	5,28,15,249				5,28,15,249	58.60	-	58.60	-	-	-	-	5,28,15,249
	Rainbow Investments Limited	Promoter Group		1	3,96,04,042	-	-	3,96,04,042	43.94			3,96,04,042	43.94	-	43.94	-	-	-	-	3,96,04,04
	Stel Holdings Limited	Promoter Group		1	43,96,082	-		43,96,082	4.88	43,96,082	-	43,96,082	4.88	-	4.88	-	-	-	-	43,96,08
	Integrated Coal Mining Limited	Promoter Group		1	24,56,247	-	-	24,56,247	2.73	24,56,247		24,56,247	2.73	-	2.73	-	-	-	-	24,56,24
	Castor Investments Limited	Promoter Group		1	23,90,661	-	-	23,90,661	2.65	23,90,661	-	23,90,661	2.65	-	2.65	-	-	-	-	23,90,663
	Quest Capital Markets Limited	Promoter Group		1	17,41,508	-	-	17,41,508	1.93	17,41,508		17,41,508	1.93	-	1.93	-	-	-	-	17,41,508
	Pcbl Limited	Promoter Group	1	1	11,46,613			11,46,613	1.27			11,46,613	1.27	-	1.27	-	-	-	-	11,46,613
	Saregama India Limited	Promoter Group		1	10,50,590			10,50,590	1.17	10,50,590	- 10	10,50,590	1.17	-	1.17	-	-	-	-	10,50,590
	Dotex Merchandise Private Limited	Promoter Group		1	28,107	-	-	28,107	0.03	28,107		28,107	0.03	-	0.03	-	-	-	-	28,10
	Lebnitze Real Estates Private Limited	Promoter Group		1	1,399	-		1,399	0.00	1,399		1,399	0.00	-	0.00	-	-	-	-	1,399
	Sub Total (A)(1)			14	5,30,08,514	-		5,30,08,514	59	5,30,08,514	-	5,30,08,514	59	-	59	-	-	-	-	5,30,08,514
2	Foreign																			
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)			_	-	_		_	-			_		_	-	_	_	-	_	
(b)	Government		1	-	-	-		-	-			_	-	-	-	-	-	-	-	
(c)	Institutions		1	-	-	-		-	-	-		_	-	-	-	-	-	-	-	
(d)	Foreign Portfolio Investor			-	-	-		-	-	-		_	-	-	-	-	_	-	-	
(e)	Any Other (Specify)		1	-	-	-		-	-	-		_	-	-	-	-	-	-	-	
/	Sub Total (A)(2)			-	-	-		-	-	-		_	-	-	-	-	-	-	-	
	Total Shareholding Of Promoter And		1																	L
	Promoter Group (A)= (A)(1)+(A)(2)			14	5,30,08,514			5,30,08,514	58.81	5,30,08,514	.l -	5,30,08,514	58.81	_	58.81	_		_	-	5,30,08,51

Spencer's Retail Limited

Annexure to Table II- Details of Shares which remain unclaimed for Promoter & Promoter Group

	Details of Shares which remain unclaimed for Promoter & Promoter Group											
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	Voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders								
		1	NIL									

Spencer's Retail Limited Table III - Statement showing shareholding pattern of the Public shareholder Number of Shareholding, Number of Voting Rights held in each class of No. of Shares Number of hares pledged o Sub-categorization of shares as a % assuming securities ocked in share otherwise Partly No. of Shareholding Underlying Number of full conversion No. of fully paid paid-up shares % calculated Outstanding encumbered equity shares Nos. of Total nos. of convertible Fotal as a Shareholding(No. of shares) SI. No. Category & Name of the shareholders PAN up equity shares eauity underlying as per SCRR, convertible held in As a % o shareholders shares held No of Voting Rights securities (as a As a % of % of under held shares Depository 1957 As a % of securities lematerialised total total percentage of Total No. (a) No. (a) held Receipts (A+B+C2) (including form Sub-Sub-Sub-Shares Share Class eg: diluted share Voting Warrants) Class eg: X Total held(b) held(b) ategory(category(category(capital) Rights ii) iii) (VII) = (IV)+(V)+(VIII) As a % of (XI)= (VII)+(X) As (I) (11) (111) (IV) (V) (VI) (IX) (XII) (XIII) (XIV) (XV) (X) (VI) (A+B+C2) a % of (A+B+C2) 1 Institutions (Domestic) (a) Mutual Fund 3,41,671 3,41,671 3,41,671 0.38 3,40,030 6 3,41,671 0.38 0.38 Venture Capital Funds (b) (c) Alternate Investment Funds 3 706 (d) Banks 17 3 706 0.00 3 706 3 706 0.00 0.00 401 6 17,96,246 17,96,246 1.99 17,96,246 17,96,246 1.99 1.99 17,96,126 (e) Insurance Companies Life Insurance Corporation Of India 1 13,26,769 13,26,769 1.47 13,26,769 13,26,769 1.47 1.47 13,26,769 (f) Provident Funds/ Pension Funds (G) Asset Reconstruction Companies Sovereign Wealth Funds (h) (i) NBFCs registered with RBI 2 2,820 2.820 0.00 2,820 2.820 0.00 0.00 2,820 Other Financial Institutions 1,380 (i) 2 1,380 0.00 1,380 1,380 0.00 0.00 (k) Any Other (Specify) Sub Total (B)(1) 33 21,45,823 21,45,823 2.38 21,45,823 21,45,823 2.38 2.38 21,39,377 2 Institutions (Foreign) (a) Foreign Direct Investment (b) Foreign Venture Capital Investors Sovereign Wealth Funds (c) 65,87,200 (d) Foreign Portfolio Investors Category I 11 65,87,200 7.31 65,87,200 65,87,200 7.31 7.31 65,87,200 1 30,60,000 30,60,000 3.40 30,60,000 30,60,000 3.40 3.40 30,60,000 India Insight Value Fund Habrok India Master Lp 1 15.53.698 15.53.698 1.72 15.53.698 15.53.698 1.72 1.72 15,53,698 Cassini Partners, L.P. Managed By Habrok Capital Managemen 1 9.19.552 9.19.552 1.02 9.19.552 9.19.552 1.02 1.02 9.19.552 (e) Foreign Portfolio Investors Category II 3 10,22,408 10.22.408 1.13 10,22,408 10,22,408 1.13 1.13 10,22,408 10,00,000 10,00,000 Esvee Capital 1 10,00,000 1.11 10,00,000 10,00,000 1.11 1.11 Overseas Depositories(holding DRs) (balancing (f) figure) (g) Any Other (Specify) 14 13.304 13.304 0.01 13.304 13.304 0.01 0.01 554 Foreign Institutional Investors 9 3,720 3,720 0.00 3,720 3,720 0.00 0.00 Foreign Bank 554 5 9,584 9,584 0.01 9,584 9,584 0.01 0.01 28 Sub Total (B)(2) 76,22,912 76,22,912 8.46 76,22,912 76,22,912 8.46 76,10,162 8.46 3 Central Government/ State Government(s) Central Government / President of India (a) 2 6,301 6,301 0.01 6,301 6,301 0.01 0.01 5,010 (b) State Government / Governor (C) Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter Sub Total (B)(3) 2 6,301 6,301 0.01 6,301 6,301 0.01 0.01 5,010 4 Non-Institutions Associate companies / Subsidiaries (a) Directors and their relatives (excluding (b) Independent Directors and nominee Directors) (C) Key Managerial Personnel Relatives of promoters (other than 'immediate (D) relatives' of promoters disclosed under 'Promote and Promoter Group' category) Trusts where any person belonging to 'Promoter (E) and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust" (f) Investor Education and Protection Fund (IEPF) 99,992 99,992 0.11 99,992 99,992 0.11 0.11 99,992 i. Resident Individual holding nominal share (g) capital up to Rs. 2 lakhs. 55.441 1.62.13.793 1.62.13.793 17.99 1,62,13,793 1.62.13.793 17.99 17.99 1.58.74.125 i. Resident individual holding nominal share (h) capital in excess of Rs. 2 lakhs. 41,38,601 41,38,601 4.59 41,38,601 41,38,601 4.59 4.59 41,38,601 1.085 9,62,817 9.62.817 1.07 9.62.817 9,62,817 1.07 1.07 7.22.420 (i) Non Resident Indians (NRIs) (j) Foreign Nationals 8 32.687 32.687 0.04 32 687 32 687 0.04 0.04 10,127 Foreign Companies 28 58,220 58,220 58,220 58,220 0.06 0.06 (k) 0.06 **Bodies Corporate** 38,42,501 (1) 503 38,53,951 38,53,951 4.28 38,53,951 38,53,951 4.28 4.28 Ginni Finance Private Limited 9,28,000 9,28,000 1.03 9,28,000 9,28,000 1.03 1.03 9,28,000 1 (m) Any Other (Specify) 1.475 18.68.398 18.68.398 2.07 18.68.398 18.68.398 2.07 2.07 18.68.232 Trusts 6 1,361 1,361 0.00 1,361 1,361 0.00 0.00 1,361 67 0.00 67 0.00 67 67 0.00 Escrow Account Body Corp-Ltd Liability Partnership 23 6,89,632 6,89,632 0.77 6,89,632 6,89,632 0.77 6,89,632 0.77 Hindu Undivided Family 1,437 10.96.056 10.96.056 1.22 10.96.056 10.96.056 1.22 1.22 10.95.957 Unclaimed Shares 1 78 066 78 066 0.09 78 066 78 066 0.09 0.09 78 066 **Clearing Membe** 7 3,216 3,216 0.00 3,216 3,216 0.00 0.00 3,216 Sub Total (B)(4) 58,582 2,72,28,459 2.72.28.459 30.21 2,72,28,459 2,72,28,459 30.21 30.21 2,65,55,998 Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+b(4) 58,645 3,70,03,495 3,70,03,495 41.05 3,70,03,495 3,70,03,495 41.05 41.05 3.63.10.547

*The catagorisation of Foreign Portfolio Investors is in view of interpretation of our RTA i.e M/s. Linkintime India Private Limited in consultation with SEBI, BSE, NSDL & CDSL, It was mutually decided to reclassify Esvee Capital from Foreign Portfolio Investors Category I (as done in December 2022 quarter) to Foreign Portfolio Investors Category I in the Shareholding Pattern for the current quarter based on reference of the sub-category received from the depositories for the shareholders coming under these categories.

Spencer's Retail Lin	nited		
Annexure to Table	III- A- Details of the shareho	Iders acting as persons	in Concert for Public
Details of the share	holders acting as persons in	Concert for Public	
Serial No.	Name of the PAC	No of Shares	Percentage of shareholding by PAC
	•	NIL	•

Spencer's	Retail Limited											
Annexure	Annexure to Table III- B Details of Shares which remain unclaimed for Public along with such details such as number of shareholders,											
outstandi	outstanding shares held in unclaimed suspense account, voting rights which are frozen etc.											
Details of	Details of Shares which remain unclaimed for Public											
SI.No.	Description	Number of Shareholders	Outstanding shares held in demat or unclaimed									
51.140.	Description	Number of Shareholders	suspense account									
1	Unclaimed Shares in Suspense Account	1	78066									

Sp	encer's	Retail	Limited
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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	bie iv Statement showing shareholding pattern of the																												
				No. of fully paid up	Partly paid-up	No. of shares	Shareholding securities		ng securities		Shareholding securities		% calculated as			ties		securities		securities		ies		Shareholding , as a % assuming full conversion of		mber of 1 in shares	Shares or ot	nber of s pledged herwise mbered	Number of equity shares
SI No	Category & Name of the shareholders	PAN	Nos. of shareholders	equity	equity shares held	underlying Depository Receipts	Total nos. shares held	per SCRR, 1957 As a % of (A+B+C2)	No	of Voting Rig	hts	Total as a % of		convertible securities (as a percentage of		As a % of total Shares	No. (a)	As a % of total Shares	held in dematerialised form										
									Class eg: X	Class eg: y	Total	(A+B+C)	Warrants)	diluted share capital)		held(b)		held(b)											
	(1)	(11)	(111)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)		(IX)		(X)	(XI)= (VII)+(X) As a % of (A+B+C2)		(XII)	(XIII)	(XIV)										
1	Custodian/DR Holder		-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-										
	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and																												
2	Sweat Equity) Regulations, 2021		1	1,20,000	-	-	1,20,000	0.13	1,20,000	-	1,20,000	0.13	-	0.13	-	-	-	-	1,20,000										
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	1,20,000	-	-	1,20,000	0.13	1,20,000	-	1,20,000	0.13	-	0.13	-	-	-	-	1,20,000										

Spencer	's Retail	Limit	ed						
Table V	- Staten	nent sl	howing detail	s of signifie	ant benef	icial owners			
	Detail o Benefic	•		Detail of F	Registered	Owner -II	Particulars of share interest is held by b	s in which significant beneficial peneficial owner -III	Date of creation /
Sr. No.					PAN	Nationality	Number of shares	Shareholding as a % of total no. of	acquisition of significant beneficial interest (IV)
			-				NIL		

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Table VI - Statement showing foreign ownership limits Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	50.00	9.63
As on the end of previous 1st quarter	50.00	8.46
As on the end of previous 2nd quarter	50.00	9.16
As on the end of previous 3rd quarter	50.00	9.11
As on the end of previous 4th quarter	50.00	8.83
Notes :- 1) "Approved Limits (%)" means the limit approved by Board of listed entity has no Board approved limit, provide details of se Authorities	-	•

2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.